

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Date of Facsimile: May 24, 2002

Typed Name of Person Signing Certification: Laura L. Mann

Signature: *Laura L. Mann*

10 pages

#8
CFL

Attn: Application Processing Division

Fax No.: 703-308-7751

Customer Correction Branch

United States Patent and Trademark Office

Regarding:

Patent Application No.: 10961133-6

Inventor(s): W. Wistar Rhoads *et al.*

Serial No.: 09/859,692

Filed: May 17, 2001

Group Art Unit: 2861

Confirmation No.: 1385

Title: Servicing System For An Inkjet Printhead

Please note that the attached copy of the Filing Receipt does not reflect the correct spelling of the name of two of the inventors. The correct spelling of the inventors' names is shown on the accompanying copy of the signed original and Reissue Declaration and Power of Attorney. The two errors are:

- 1.) The name of the first inventor is incorrectly given as W. W. Rhodes. The correct spelling of the inventor's name is: **W. Wistar Rhoads**.
- 2.) The name of the second inventor is incorrectly given as Eric J. Johnson. The correct spelling of the inventor's name is: **Eric Joseph Johnson**.

Applicant respectfully requests the correction of the official records to show the correct names of these inventors on the patent application. Please forward a corrected Filing Receipt at your earliest convenience. The "corrected" Filing Receipt is necessary for foreign filing purposes.

If you have any question or comments, please do not hesitate to call me at (360) 212-2969.

Thank for your assistance in this matter.

Laura L. Mann
Hewlett-Packard Company

BEST AVAILABLE COPY

RECEIVED

SAN DIEGO LEGAL DEPT Page 1 of 3



JUL 27 2001

JUL 30 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

HP LEGAL
IPA

RECEIVED

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/859,692 | 05/17/2001 | 2861 | 1400 | 10961133-6 | 21 | 50 | 10 |

CONFIRMATION NO. 1385

22879

HEWLETT PACKARD COMPANY
P O BOX 272400, 3404 E. HARMONY ROAD
INTELLECTUAL PROPERTY ADMINISTRATION
FORT COLLINS, CO 80527-2400

FILING RECEIPT



OC000000006322985

JRP
AIO

Date Mailed: 07/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

W. W. Rhodes, Escondido, CA;
Eric J. Johnson, Encinitas, CA;
Steve Castle, Temecula, CA;
Frank Drogo, San Marcos, CA;
John Ferraro, San Diego, CA;
Paul E. Martinson, Escondido, CA;
Eric Mattis, La Jolla, CA;

mm?

RECEIVED
JUN - 7 2002
JC 2800 MAIL ROOM

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A REI OF 08/747,857 11/13/1996 PAT 5,905,514

Foreign Applications

If Required, Foreign Filing License Granted 07/19/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

Servicing system for an inkjet printhead

Preliminary Class

347

Data entry by : CHADWICK, YOLANDA

Team : 1600

Date: 07/20/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

PTO/SB/51 (02-01)

Approved for use through 01/31/2004, OMB 0851-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

REISSUE APPLICATION DECLARATION BY THE INVENTOR

Docket Number (Optional)

10961133-6

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is described and claimed in patent number 5,905,514, granted May 18, 1999, and for which a reissue patent is sought on the invention entitled SERVICING SYSTEM FOR AN INKJET PRINTHEAD

the specification of which

☐ is attached hereto.

☒ was filed on 17 May 2001 as reissue application number 09 / 859,692 and was amended on _____
(If applicable)

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.)

☐ by reason of a defective specification or drawing.☒ by reason of the patentee claiming more or less than he had the right to claim in the patent.☐ by reason of other errors.

At least one error upon which reissue is based is described below. If the reissue is a broadening reissue, such must be stated with an explanation as to the nature of the broadening:

[Page 1 of 4]

Burden Hour Statement: This form is estimated to take 0.5 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/51 (02-01)

Approved for use through 01/31/2004. OMB 0651-0033
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 2)

Docket Number (Optional)

10961133-6

All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant. As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith.

Name(s)

Registration Number

W. Norman Roth

26,225

Correspondence Address: Direct all communications about the application to:



Customer Number

22879

Type Customer Number here

Place Customer Number Bar
Code Label here☐ Firm or
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

Full name of sole or first inventor (given name, family name)

W. Wistar Rhoads

Inventor's signature

Date

4-11-02

Residence ~~RAMONA~~ Escondido, California 92029

Citizenship US

Mailing Address 20286 ~~RAMONA~~ EAGLE HILL LN
19632 Fourth Place, Escondido, California 92029 92065

Full name of second joint inventor (given name, family name)

Eric Joseph Johnson

Inventor's signature

Date

2 May 02

Residence Carlsbad, California 92009

Citizenship US

Mailing Address

3461 Camino Valencia, Carlsbad, California 92009

Full name of third joint inventor (given name, family name)

Steve Castle

Inventor's signature

Date

5/13/02

Residence Philomath, Oregon 97370
~~Temecula, California 92591~~

Citizenship

US

Mailing Address 811 Pioneer St. Philomath, Oregon 97370
~~30210 Milano Road, Temecula, California 92591~~☒ Additional joint inventors are named on separately numbered sheets attached hereto.

PTQ/SB/51 (02-01)

Approved for use through 01/31/2004. OMB 0651-0033
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 3)

Docket Number (Optional)

10961133-6

All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant. As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith.

Name(s)

Registration Number

W. Norman Roth

26,225

Correspondence Address: Direct all communications about the application to:



Customer Number

22879

Type Customer Number here

Place Customer Number Bar
Code Label here☐ Firm or
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

Full name of ~~sole or first inventor~~ (given name, family name) fourth joint inventor

Frank Drogo

Inventor's signature

Date

4/11/02

Residence

San Marcos, California 92069-9203

Citizenship US

Mailing Address

P.O. Box 1140, San Marcos, California 92069-9203

Full name of ~~second joint inventor~~ (given name, family name) fifth joint inventor

John Ferraro

Inventor's signature

Date

4/16/02

Residence

San Diego, California 92122

Citizenship US

Mailing Address

6832 Dennison St San Diego CA 92122

Full name of ~~third joint inventor~~ (given name, family name) sixth joint inventor

Paul E. Martinson

Inventor's signature

Date

4/3/2002

Residence

San Diego, California 92131 P.M.

Citizenship

US

Mailing Address

11484 Rrd Cedar Drive, San Diego, CA 92131

☒ Additional joint inventors are named on separately numbered sheets attached hereto.

PTO/SB/51 (02-01)

Approved for use through 01/31/2004. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 4)

Docket Number (Optional)

10961133-6

All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant. As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith.

Name(s)

Registration Number

W. Norman Roth

26,225

Correspondence Address: Direct all communications about the application to:



Customer Number

22879

Type Customer Number here

Place Customer Number Bar
Code Label here☐ Firm or
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

Full name of ~~sole or first inventor~~ (given name, family name) seventh joint inventor

Eric Mattis

Inventor's signature

Date

5/14/02

Residence, ~~SAN DIEGO~~
La Jolla, California

Citizenship US

Mailing Address 4462 MARSEILLES ST SAN DIEGO, CA
2737 Torrey Pines Road, La Jolla, California 92037 92107

Full name of second joint inventor (given name, family name)

Inventor's signature

Date

Residence

Citizenship

Mailing Address

Full name of third joint inventor (given name, family name)

Inventor's signature

Date

Residence

Citizenship

Mailing Address

☐ Additional joint inventors are named on separately numbered sheets attached hereto.

PATENT APPLICATION

DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION

SERIAL NUMBER NO. 08/747,857

As a below named inventor, I hereby declare that:

My residence/post office address and citizenship are as stated below next to my name:

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

WET-WIPING PRINTHEAD CLEANING SYSTEM USING DIRECT CONTACT TECHNIQUE

the specification of which is attached hereto unless the following box is checked:

☒ was filed on 11/13/96 as US Application Serial No. or PCT International Application Number 08/747,857 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR 1.56.

Foreign Application(s) and/or Claim of Foreign Priority

I hereby claim foreign priority benefit under Title 35, United States Code Section 119 of any foreign application(s) for patent or inventor(s) certificate listed below and have also identified below any foreign application for patent or inventor(s) certificate having a filing date before that of the application on which priority is claimed:

| COUNTRY | APPLICATION NUMBER | DATE FILED | PRIORITY CLAIMED UNDER 35 U.S.C. 119 |
|---------|--------------------|------------|--------------------------------------|
| | | | YES: _____ NO: _____ |
| | | | YES: _____ NO: _____ |

Provisional Application

I hereby claim the benefit under Title 35, United States Code Section 119(e) of any United States provisional application(s) listed below:

| APPLICATION SERIAL NUMBER | FILING DATE |
|---------------------------|-------------|
| | |
| | |

U. S. Priority Claim

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| APPLICATION SERIAL NUMBER | FILING DATE | STATUS (published/pending/abandoned) |
|---------------------------|-------------|--------------------------------------|
| | | |
| | | |

POWER OF ATTORNEY:

As a named inventor, I hereby appoint the following Attorney(s) and/or agent(s) listed below to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Robert I. Griffin Edward Maker, II David S. Ramsey Dennis G. Stanstrom W. Norman Roth Clifton W. Thompson
 Reg. No. 23,035 Reg. No. 26,762 Reg. No. 24,266 Reg. No. 28,910 Reg. No. 26,225 Reg. No. 36,947

| | |
|---|---|
| Send Correspondence to: IP Administration Legal Department, 208N HEWLETT-PACKARD COMPANY P.O. Box 10301 Palo Alto, California 94301-0301 | Direct Telephone Calls to: CLIFTON W. THOMPSON (415) 653-1143 |
|---|---|

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor: W. WISTAR RHOADS Citizenship: USResidence: 19632 Fourth Place, Escondido, CA 92029Post Office Address: 19632 Fourth Place, Escondido, CA 92029Inventor's Signature: W. Wistar Rhoads Date: 7-10-97

DECLARATION AND
FOR PATENT APPLICBY ATTORNEY
ON (CONTINUED)

AT KEY BUREAU NO. HP10961133

Full Name of Joint Inventor: ERIC JOSEPH JOHNSON Citizenship: US

Residence: 529-A Fourth Street, Encinitas, CA 92024

Post Office Address: 529-A Fourth Street, Encinitas, CA 92024

Inventor's Signature

Date

4/1/96

Full Name of Joint Inventor: STEVE CASTLE Citizenship: US

Residence: 30210 Milano Road, Temecula, CA 92591 811 Pioneer St. Philomath

Post Office Address: 30210 Milano Road, Temecula, CA 92591 SAME OR 973 SC

Inventor's Signature

Date

7/1/97

Full Name of Joint Inventor: FRANK DROGO Citizenship: US

Residence: 1140 Sutter Lane, San Marcos, CA 92069

Post Office Address: 1140 Sutter Lane, San Marcos, CA 92069

Inventor's Signature

Date

7/11/97

Full Name of Joint Inventor: JOHN FERRARO Citizenship: US

Residence: 17466 Matinal Road #5223, San Diego, CA 92127

Post Office Address: 17466 Matinal Road #5223, San Diego, CA 92127

Inventor's Signature

Date

10-31-96

Full Name of Joint Inventor: PAUL E. MARTINSON Citizenship: US

Residence: 2705 Ross Lane, Escondido, CA 92025

Post Office Address: 2705 Ross Lane, Escondido, CA 92025

Inventor's Signature

Date

10/31/96

Full Name of Joint Inventor: ERIC MATTIS Citizenship: US

Residence: 2737 Torrey Pines Road, La Jolla, CA 92037

Post Office Address: 2737 Torrey Pines, Road, La Jolla, CA 92037

Inventor's Signature

Date

11/12/96

Full Name of Joint Inventor: Citizenship:

Residence:

Post Office Address:

Inventor's Signature

Date

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☒ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.